

Apache Spark Implementations for String Patterns in DNA Sequences



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1 Introduction

Current scientific advancements in both biological and computer sciences have brought new opportunities to intradisciplinary research topics. On the one hand, the advancement in molecular biological experiments is producing huge amounts of data related to genome and RNA sequences, protein and metabolite abundance, protein-protein interactions, gene expression, and so on. On the other hand, computers and big data analytics along with cloud software tools are being developed, and thus, the capability of processing from terabyte data sets to petabytes and beyond has rapidly increased. As a result, the development of computer science methods and models used to describe these problems in a formal way arose in bioinformatics. The available algorithmic approaches used to solve them are of great interest among researchers.

Regarding the majority of these problems, biological data are forming big, versatile, and complex networks. More to the point, in recent years, sequencing has faced major scientific progress and in the following has leveraged the development of novel bioinformatics applications. It is not surprising why bioinformatics and life sciences applications, in general, are facing a rapidly increasing demand for data-handling capacity. In many cases, from low-level applications (such as systems biology) to high-level integrated applications (such as systems medicine), the amounts

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of data to be stored, transferred, and finally processed meet congestion in many current technologies.

The advances in the fields of bioinformatics and systems biology further require improved computational methods for analyzing data, while the ongoing progress in the field of molecular biology is evident and thus influences the development of computer science methods. Authors in (Bockenhauer & Bongartz 2007) introduce some key problems in bioinformatics, then discuss the models used to formally describe these problems, and finally analyze the algorithmic approaches used to solve them.

A deoxyribonucleic acid (DNA) macromolecule can be coded by a sequence over a four-letter alphabet. The four letters are A, C, G, and T and code the bases adenine, cytosine, guanine, and thymine, respectively. More specifically, DNA sequencing consists in determining the exact order of these bases in a DNA macromolecule. As a result, DNA sequencing technology constitutes a vital role in the advancement of molecular biology. Compared to previous sequencing aspects, Next-Generation Sequencing (NGS) performs swifter, with significantly lower production costs and much higher throughput in the form of short reads, i.e., short sequences coding portions of DNA macromolecules.

The remainder of the paper is organized as follows: Sect. 2 summarizes the history of NGS and provides an overview of the three corresponding problems. Section 3 discusses Longest Common Prefix along with four different algorithms. Likewise, Sects. 4 and 5 present Longest Common Substring and Longest Common Subsequence, respectively. In addition, Sects. 6 and 7 introduce the details of the implementation of the system (and the respective cloud infrastructure utilized) as well as the experimental results. Finally, in Sect. 8 our concluding remarks, open problems, and future work are introduced.

2 Related Work

In our days, there is a need for more effective algorithms regarding DNA sequence processing as the acquisition of DNA information is no longer a bottleneck in genetics. This processing includes searching for selected parts of a sequence, analysis of similarities, differences, or even repetitive fragments. Therefore, sequence alignment methods are gaining much attention in terms of the research in biology and medicine. The sequences are, in many cases, expected to be matched despite the existing minute differences, as they may be caused by acquisition errors. Hence the searching procedure should accept a controlled number of mismatches. Heuristic methods, implemented in Basic Local Alignment Search Tool (BLAST)¹, a program commonly used for sequence alignment, can manage searching sequence fragments in large databases.

¹<https://blast.ncbi.nlm.nih.gov/Blast.cgi>

Researchers in the field of computer science consider the fact that biologically meaningful results could be provided from considering DNA as a one-dimensional character string, abstracting the reality of DNA as a flexible three-dimensional molecule. Thus, interaction in a dynamic environment with protein and RNA, and repetition of a life cycle in which even the classic linear chromosome exists for only a fraction of time, can take place (Gusfield 1997). Significant contributions to computational biology might be made by extending or adapting algorithms from computer science, even when the original algorithm has no clear utility in biology. This is illustrated by several recent sublinear-time approximate matching methods for database searching that rely on an interplay between exact matching methods from computer science and Dynamic Programming methods already utilized in molecular biology. Certain string algorithms that were generally deemed to be irrelevant to biology just a few years ago have now become adopted by practicing biologists in both large-scale projects and in narrower technical problems.

A number of data structures have been designed with the aim of storing these impressive amounts of data in an efficient way while allowing for immediate indexing and searching. As a result, all occurrences of any given pattern can be found without traversing the whole sequences. In short, indexing is profitable and useful if utilized regularly. It is evident that if sequences are available beforehand and no periodical alteration takes place, researchers and users can take full advantage of the index. The primary goal of these corresponding data structures constitutes the construction of an index that provides efficient answers to queries with reasonable building and maintenance costs. Classical data structures such as Tries (Crochemore & Lecroq 2009), Suffix Trees (Farach 1997; Ukkonen 1995; Weiner 1973), Suffix Arrays (Manber & Myers 1993), Directed Acyclic Word Graphs (DAWG) (Blumer et al. 1985) as well as the compact version CDAWG (Crochemore & V  rin 1997) are arguably considered very popular and useful data structures for string analysis, especially when searching over large sequence collections. Yet, these structures are full-text indexes as they require a large amount of space for a sequence to be represented. A survey of index construction algorithms is properly introduced in (Nsira et al. 2017).

Authors in (Lanctot et al. 2003) introduce a collection of string algorithms that lie in the crux of a number of biological problems such as the discovery of potential drug targets, the creation of diagnostic probes, and the universal primers or unbiased consensus sequences. All these problems are reduced to the task of identifying a pattern that, with some flaws, occurs in one set of strings (Closest Substring Problem) and does not appear in another (Farthest String Problem).

In addition, all NGS platforms perform sequencing of millions of small fragments of DNA in parallel. The analysis in the area of bioinformatics maps each individual read to the human reference genome with the aim of piecing together the previous fragments. Each of the three billion bases in the human genome is sequenced multiple times, providing thus inner depth for delivering accurate data and an insight into unexpected DNA variation. NGS can also be used for purposes of sequencing entire genomes, including small numbers of individual genes or even all 22.000 coding genes (a whole exome) (Behjati & Tarpey 2013).

3 Longest Common Prefix (LCP)

The LCP array, known as the abbreviation of the phrase “Longest Common Prefix,” constitutes a data structure, which is mostly used in combination with the suffix array. More specifically, the array itself contains the length of the longest common prefix of two lexicographically consecutive suffixes (Manber & Myers 1993). The LCP array is mainly utilized because of its critical information regarding repetitiveness in a given string and can be, therefore, considered as a very advantageous data structure for analyzing textual data in several fields such as molecular biology, natural language processing, or musicology. Moreover, sequence variations that may be the result of DNA replication or DNA sequence errors can also be identified (Alamro et al. 2018; Ayad et al. 2018; Manzini 2015). Other approaches that address the specific problem with the use of LCP array construction algorithms are considered as well in (Fischer 2011; Gog & Ohlebusch 2011; Kasai et al. 2001).

Regarding the LCP array, there are numerous text search as well as indexing applications, where the popular ones consist of the construction of the suffix tree, and perform the efficient search of all occurrences of a search pattern in a text.

The algorithms considered in this study for the Longest Common Prefix problem are the following:

- Word-by-Word Matching
- Character-by-Character Matching (divide and conquer)
- Binary Search

3.1 Word-by-Word Matching

The Longest Common Prefix problem for the Word-by-Word Matching Algorithm for n given strings can be considered as

$$LCP(s_1 \dots s_n) = LCP(LCP(LCP(s_1, s_2), s_3), \dots s_n) \quad (1)$$

The Time Complexity of Word-by-Word Matching Algorithm is $O(n * m)$, where n is the number of strings and m is the length of the largest string. We iterate through all the strings, and, namely, for each string, we iterate through all of its characters.

3.2 Character-by-Character Matching

This algorithm differs from the previous one as in this case there is no common prefix among the given strings and therefore no need of searching all the strings. Specifically, as this algorithm traverses the characters of each string, once a string that is not common to the other strings is reached, searching stops, and it is stated that there is no prefix.

The Time Complexity of Character-by-Character Matching Algorithm is $O(n*m)$, where n is the number of strings and m is the length of the largest string. We evidently iterate through all the characters of all the strings.

3.3 Divide and Conquer

This algorithm divides a concrete problem into several subproblems that are similar to the initial problem; in the following it recursively solves these subproblems and finally combines the solutions derived from the subproblems in order to solve the initial problem. Because of its recursive function, there is a limitation; each subproblem must be smaller than the initial problem, and there must also be a base case for all corresponding subproblems (Farach et al. 1998; Kärkkäinen & Sanders 2003).

More to the point, these kinds of algorithms constitute the following three steps:

- Divide the problem into a number of subproblems that are smaller instances of the same problem.
- Conquer the subproblems by solving them in a recursive way. If they are small enough, they solve the subproblems as base cases.
- Combine the solutions of the above subproblems into a solution corresponding to the initial problem.
- This algorithm stems from the associative property of LCP operation. We notice that

$$LCP(S_1 \dots S_n) = LCP(LCP(S_1 \dots S_k)) \quad (2)$$

$$LCP(S_{k+1} \dots S_n) LCP(S_1 \dots S_n) = LCP(LCP(S_1 \dots S_k), LCP(S_{k+1} \dots S_n)) \quad (3)$$

where $LCP(S_1 \dots S_n)$ is the Longest Common Prefix in a set of strings $[S_1 \dots S_n]$ with $1 < k < n$.

The Time Complexity of divide-and-conquer algorithm is $O(n * m)$, where n is the number of strings and m is the length of the largest string. This is since we are iterating through all the characters of all the strings.

3.4 Binary Search

The idea of this algorithm is to apply the well-known Binary Search method in order to find the string with maximum value L , which is a common prefix of all the strings (Irving & Love 2003). The algorithm searches in the interval $(0 \dots \text{minLen})$, where minLen is of minimum string length and simultaneously has the maximum possible common prefix. At each time period, the search space, which is $(0 \dots \text{minLen})$, is divided in two equal parts, one of which is discarded as it doesn't contain the solution.

There are two possible cases:

- The first case assumes that the $S[1 \dots \text{mid}]$ is not a common string. This means that for each $j > i$, the string $S[1 \dots j]$ is not a common one and, thus, the second half of the search space is discarded.
- The second case assumes that the $S[1 \dots \text{mid}]$ is a common string. This means that for each $i < j$, the string $S[1 \dots i]$ is a common one and, thus, the first half of the search space is once again discarded (our goal is to find a longer common prefix).

The Time Complexity of Binary Search Algorithm is $O(n * m * \log m)$, where n is the number of strings and m is the length of the largest string. This occurs since we use the recurrence relation $T(M) = T(M/2) + O(M * N)$.

4 Longest Common Substring

The Longest Common Substring compares two strings and determines whether they might match by determining the longest length of a sequence of characters (substring) that is common to both strings. Specifically, it checks whether that corresponding substring matches exactly or is a part of the given string. The Longest Common Substring is a major problem in the study of strings, and it occurs in many different cases in the field of biology (Arnold & Ohlebusch 2011; Babenko & Starikovskaya 2008; Zhang et al. 2007). Specifically, let us consider two strings S and T with length m and n , respectively, and then the goal is to find the longest strings which are substrings of both S and T .

The k -common substring problem can be considered as a generalization. Concretely, given the set of strings $S = S_1, \dots, S_K$, where $|S_i| = n_i$ and $\sum n_i = N$, the algorithm finds the longest strings which occur as substrings of at least k strings, with $2 \leq k \leq K$.

The algorithms considered in this study for the Longest Common Substring problem are the following:

- Naive Search
- Dynamic Programming
- Suffix array

4.1 Naive Search

The Naive Search constitutes the simplest method among other pattern searching algorithms. Concretely, it checks whether all the characters of the main string exist in a specific pattern (Gusfield 1997). Furthermore, it is proven to be effective regard-

ing smaller texts, and also, it does not require any preprocessing phases. For the identification of a substring, an additional check for the string needs to be performed (Knuth et al. 1977).

The Time Complexity of Naive Search Algorithm is $O(n * m)$, where n is the size of the main string and m is the size of the pattern.

4.2 Dynamic Programming

The Dynamic Programming is considered a powerful enough technique that can be used for solving several different problems in $O(n^2)$ or $O(n^3)$ time, where a naive approach would require an exponential time (Gusfield 1997; Iliopoulos & Rahman 2008b; Wagner & Fischer 1974; Zhang et al. 2007). One important factor that needs to be taken into account regarding these kinds of problems is the following: if solving a subproblem is the optimal solution, then this optimal solution for the specific subproblem must be used (Hsu & Du 1984).

Overall, this method constitutes a general approach for solving problems and resembles the “divide-and-conquer” method. The main difference between these two methods is that in Dynamic Programming, the subproblems will typically overlap. The aim is to somehow split the initial problem into a reasonable number of subproblems in a way that we can use optimal solutions to the smaller subproblems. The final output is to provide the initial problem with a near optimal solution. The storing of the concrete solutions can be implemented by using a memory-based data structure, such as an array, a map, etc.

4.3 Suffix Array

The suffix array has been introduced by Manber and Myers (Manber & Myers 1993) as a practical and memory-efficient replacement for the suffix tree in string matching applications. The suffix array of a string s having length n is merely an array of these n integers that indicate the lexicographic order of non-empty suffixes of s . Its simplicity and compactness make it an extremely useful tool in modern text processing. Furthermore, the suffix array represents in an explicit way all the leaves of the suffix tree, while it omits internal nodes and outgoing edges.

Authors in (Nong et al. 2009) introduce a linear time and space suffix array construction algorithm, which is novel because of the LMS substrings used for the problem reduction and the pure induced sorting used to propagate the order of suffixes as well as that of LMS substrings.

Definition 1 The suffix array of a string S of length n is an array A containing a permutation of the interval $[0, n]$, such that $SA[i - 1] <_{\text{lex}} SA[i]$ for all $i \in [1, n]$.

5 Longest Common Subsequence (LCS)

The Longest Common Subsequence problem for a given set of sequences constitutes the identification of a common subsequence of all the sequences that has the maximal length (Hirschberg 1977; Lowrance & Wagner 1975). It can be considered as a classic computer science problem as it is the basis of data comparison programs and also has several applications in bioinformatics. Also, LCS addresses various problems in genetics and molecular biology, while it is also being used as a measure of similarity between the strings and the biological sequences they represent. In addition, it is widely used by revision control systems, such as SVN and Git, in terms of reconciling multiple changes made to a revision-controlled collection of files.

A survey introducing a comprehensive comparison of well-known Longest Common Subsequence algorithms (for two input strings) and in the following studying their behavior in various application environments are presented in (Bergroth et al. 2000). As authors state, the performance of the methods depends heavily on the properties of the problem as well as on the supporting data structures used in the implementation.

One related work was introduced in (Karp & Rabin 1987), where authors presented a randomized algorithm to solve this problem. More to the point, the corresponding algorithm associates with each string X , a fingerprint $\varphi(X)$, which is quite shorter from the corresponding string. In the following, the search procedure compares short fingerprints instead of the initial long strings. Similarly, in (Iliopoulos & Rahman 2008a), new variants of Longest Common Subsequence problem and efficient algorithms for solving them are properly introduced. In particular, authors discuss the notion of gap constraints in corresponding problems.

The algorithms considered in this study for the Longest Common Subsequence (LCS) problem are the following:

- Naive Search
- Dynamic Programming
- Longest Increasing Subsequence (LIS)

5.1 Naive Search

As mentioned in the previous problem, the Naive Search method for this problem considers initially the generation of all the subsequences of the given sequences. In the following, the second step constitutes the identification of the longest matching subsequence.

The Time Complexity of the Naive Search Algorithm is exponential. The number of the total possible combinations will be 2^n . Hence, this general recursive solution requires $O(2^n)$.

5.2 Dynamic Programming

The Dynamic Programming method has already been introduced in the Longest Common Substring problem. What is more, each of the subproblem solutions is indexed based on the values of its input parameters so as to facilitate its lookup. As a result, the time where the same subproblem occurs, instead of recomputing its solution, one simply looks up the previously computed solution, hence saving computation time. The corresponding technique of storing solutions for the subproblems, instead of recomputing them, is called memorization.

5.3 Longest Increasing Subsequence (LIS)

The Longest Increasing Subsequence (LIS) problem corresponds to the discovery of the subsequence of a given sequence in which the subsequence's elements are in sorted order, lowest to highest, and in which the subsequence is as long as possible. Notice that this subsequence is not necessary to be continuous or unique. Generally, this method creates a sequence based on the positions of the characters of the one string that match the characters of the other string (Crochemore & Porat 2010; Garcia et al. 2001).

Definition 2 A subsequence of sequence x_1, \dots, x_n is some sequence $x_{\varphi_1}, \dots, x_{\varphi_h}$ such that for all k , where $1 \leq k \leq h$, we have $1 \leq \varphi_k \leq n$. In addition, for any x_j in the subsequence, all x_i preceding x_j in the subsequence satisfy that $i < j$. An increasing subsequence constitutes a subsequence such that for any x_j in the subsequence, all x_i preceding x_j in the subsequence satisfy $x_i < x_j$. A largest increasing subsequence is a subsequence of maximum length.

The Time Complexity of the Longest Increasing Subsequence Algorithm is $O(n^2 * \log k)$, where n is the length of the strings and k is the maximum length of LCS.

6 Implementation

In this section we will briefly discuss the tools we used to perform the experimental evaluation. The analysis was performed with the use of Apache Spark. We based our experiments on two different DNA sequences, and the application we implemented utilized Python language. In the next subsections, the cloud infrastructure and the datasets are properly introduced.

6.1 Apache Spark

Apache Spark² (Zaharia et al. 2016) was founded in 2009 at the University of California, Berkeley. Although it shares the same principles as Hadoop, its philosophy differs. It uses the abstraction of “Resilient Distributed Dataset” (RDDs), which represent a fault-tolerant correlation of elements, distributed across many compute nodes that can be manipulated in parallel. Using them, a wide range of tasks, including SQL, streaming, machine learning, and graph processing, in a unified manner, can be captured. Its main advantage over MapReduce paradigm is that we don’t have to flush the intermediate data to the disk, just to read them at the reduce stage, since it can perform iterative computations in memory, which can have a positive impact on the performance (Shi et al. 2015).

The creators of Apache Spark have also founded Databricks that supplies researchers with a web-based platform in which they can store and analyze their data with Spark. It offers researchers a mini cluster with 6 Gb of RAM for their analysis, and it also offers cloud storage. As programming language, Python (PySpark) was chosen.

6.2 Datasets

As previously mentioned, the experiments were conducted with DNA sequences derived from the database of National Center for Biotechnology Information (NCBI). More specifically, these sequences are part of *Escherichia coli* K-12 (Rudd 2000) and *Streptococcus pneumoniae* R6 genomes (Hoskins et al. 2001).

7 Results

The results of our work are presented in Tables 1, 2, 3, 4, 5, and 6 for different number of input strings as well as different number of strings characters. The execution time (in milliseconds and seconds) is used as the evaluation metric for the different algorithms.

Regarding Table 1, we present four different experiments for the problem of Longest Common Prefix. In the first case, the number of characters for the 3 input strings is 450, 300, as well as 125, respectively, and the output consists of an LCP with 76 characters. In the following, in the second case, the number of characters for input strings is 2605, 2455, as well as 2060, respectively, and the output consists of an LCP with 250 characters. For the last two cases, the number of characters for input strings is 9948, 8884, 8504 and 21350, 18790, 16845, whereas the output consists of an LCP with 1054 and 2530 characters, respectively.

²<http://spark.apache.org/>

Binary Search, as expected, achieved the best performance in Table 2, while the other three algorithms, although they almost have the same complexity, in fact are quite different. Binary Search takes the lowest time as it examines each character starting from the first one until the one that is in the position equal to the length of the smallest string. Divide and conquer is the next best performance as it divides the problem into smaller subproblems and calculates the final solution through solutions in each subproblem. Word-by-Word Matching is the slowest, as it has to look at all the strings and the prefix, which occur each time between the pairs of the strings and may be larger than the final prefix, so there is the possibility of additionally unnecessary calculations. On the other hand, Character-by-Character matching, instead of going through the strings one by one, looks at the characters separately. So, once a character in any string is not the same with the other, the query stops, and the output consists of the occurred prefix; moreover, no additional unnecessary calculations need to be done.

Furthermore, results in Table 3 introduce the problem of the Longest Common Substring. We also present 4 different experiments, where in the first case, the number of characters for the 2 input strings is 300 as well as 280, respectively, and the output consists of a substring with 7 characters. In the second case, the number of characters for input strings is 4575 as well as 4270, respectively, and the output consists of a substring with 13 characters. For the last two cases, the number of characters for input strings is 7500, 7000 and 14925, 14070, whereas the output consists of a substring with 13 and 23 characters, respectively.

The results in Table 4 show that the Naive Search algorithm is the slowest one as it requires, after the identification of all the possible strings of the first input, to additionally check whether each one of them is a substring of the initial; the largest one will be the desired Longest Common Substring. The Dynamic Programming

Table 1 Four different scenarios for LCP implementations

Scenario	Number of input strings	LCP output
1	450, 300, 125	76
2	2605, 2455, 2060	250
3	9948, 8884, 8504	1054
4	21350, 18790, 16845	2530

Table 2 Time for different scenarios of LCS implementations

Longest common prefix algorithm	1	2	3	4
Word by Word Matching	2,02	2,88	3,58	5,75
Character by Character Matching	1,55	2,68	3,39	5,39
Divide and Conquer	1,52	1,73	1,97	2,61
Binary Search	0,95	1,09	1,28	1,44

method performs better because in this case, a table that contains the lengths of the maximum common suffixes of the two strings will be created. In the following, as already mentioned, every corresponding output will be stored in the table in order to be used in calculations that precede. On the other hand, the suffix array approach, even if quite simple, is faster than the previous two algorithms. It is considered a simple data structure that contains all the information needed for finding the Longest Common Substring; a table is created with all the possible string suffixes resulting from the combination of input strings, and after being sorted in lexicographic order using an LCP algorithm, we find all the LCPs between each value with the exactly next one. The largest of these LCPs constitutes the desired Longest Common Substring.

Finally, Table 5 presents the problem of the Longest Common Subsequence, where, in the first case, the number of characters for both two input strings is 20 and the output consists of an LCS with 9 characters. In the second case, the number of characters for the 2 input strings is 83 as well as 81, respectively, and the output consists of an LCS with 46 characters. For the last two cases, the number of characters for input strings is 158, 155 and 300, 280, whereas the output consists of an LCS_{Sub} with 90 and 179 characters, respectively.

As in the Longest Common Substring implementations, we observe in Table 6 that the naive method for calculating the Longest Common Subsequence is the slowest. Specifically, its complexity is exponential and in the worst case reaches

Table 3 Four different scenarios for LCS implementations

Scenario	Number of Input Strings	Longest Common Substring Output
1	300, 280	7
2	4575, 4270	13
3	7500, 7000	13
4	14925, 14070	23

Table 4 Time for different scenarios of LCS implementations

Longest common substring algorithm	1	2	3	4
Naive Search	3,82	14,16*10 ³	58,14*10 ³	482,53*10 ³
Dynamic Programming	0,01	3,32	8,02	33,22
Suffix array	0,003	0,22	0,42	1,59

Table 5 Four different scenarios for Longest Common Subsequence implementations

Scenario	Number of Input Strings	Longest Common Subsequence Output
1	20, 20	9
2	83, 81	46
3	158, 155	90
4	300, 280	179

Table 6 Time for different scenarios of LCSUB implementations

Longest common subsequence algorithm	1	2	3	4
Naive Search	102,48	–	–	–
Dynamic Programming	0,001	0,009	0,012	0,037
Longest Increasing Subsequence (LIS)	0,006	0,62	21,41	320,53

$O(2n)$. This undoubtedly proves that it is unsuitable for long sequences like the ones used for computations in the field of bioinformatics. On the other hand, the Dynamic Programming is clearly a faster method because it uses a table for storing the temporary results in order to be used in subsequent calculations. The LIS approach achieves the medium performance as initially it creates a sequence based on the positions of the string characters, and then, a LIS algorithm that will produce the desired LCS is applied.

8 Conclusion

The aim of this work was to study DNA sequences regarding three well-known problems, namely, the Longest Common Prefix, the Longest Common Substring, and the Longest Common Subsequence. The application was developed in Apache Spark environment with Python programming language. The use of Spark has accelerated the processing of large-scale biological sequences, while it has also contributed to the versatility of the use of Python.

It would be interesting to analyze the Longest Common Extension (LCE) problem that appears to be a subproblem in several fundamental problems with strings such as the k-Difference Global Alignment for the construction of alignment tools in bioinformatics. Moreover, another potential future work is to incorporate in our experiments the use of Suffix Tree in the Longest Common Substring problem. In addition, the ongoing research is aimed at investigating the performance of algorithms using various text compression algorithms. To be more specific, these algorithms will take full advantage of genomic sequence data.

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